

## UNITED STATES PATENT AND TRADEMARK OFFICE

Page 1 of 1  
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COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/039,638	12/31/2001	Steven J. Tu	42390.P12490

CONFIRMATION NO. 9252

## FORMALITIES LETTER



\*OC00000007440955\*

Leo V. Novakoski  
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP  
Seventh Floor  
12400 Wilshire Boulevard  
Los Angeles, CA 90025-1026

ORIGINALITY FILED  
COPY OF PAPERS

Date Mailed: 02/07/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

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*A copy of this notice **MUST** be returned with the reply.*

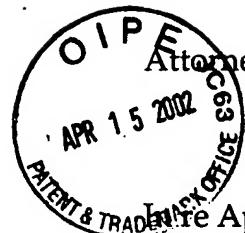
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

*Samehrem* /  
PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/17/2002 SFELEKE1 00000093 10039638

01 FC:105

130.00 DP



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3  
Patent

Attorney's Docket No.: 42390.P12490

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Steven J. Tu et al.

Application No.: 10/039,638

Filed: December 31, 2001

For: DETERMINISTIC HARDWARE RESET FOR  
FRC MACHINE

Assistant Commissioner for Patents  
Box Missing Parts  
Washington, D.C. 20231

) Examiner : Not Yet Assigned

) Art Unit: 2184

)  
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

on April 15, 2002

Date of Deposit

Deborah A. McGovern  
Name of Person Mailing Correspondence  
DSMC/JS/14-502  
Signature

Date

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
(FILING DATE GRANTED)**

Sir:

In response to the Notice to File Missing parts of Application (Filing Date Granted) mailed February 7, 2002 please find enclosed:

- (1) a duly executed Declaration and Power of Attorney with respect to the above-referenced patent application;
- (2) a Fee Transmittal for FYI 2002;
- (3) a check in the amount of \$130.00 in payment of the surcharge of 37 C.F.R. § 1.16(e); and
- (4) a copy of the Notice to File Missing Parts of Application.

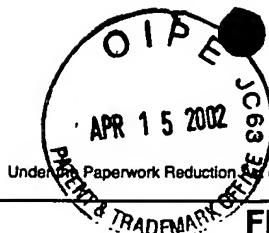
If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

  
\_\_\_\_\_  
Leo V. Novakoski  
Reg. No. 37,198

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300



## FEE TRANSMITTAL FOR FY 2002

TOTAL AMOUNT OF PAYMENT (\$)

130.00

## Complete If Known:

Application No. 10/039,638

Filing Date December 31, 2001

First Named Inventor Steven J. Tu

Group Art Unit 2184

Examiner Name Unassigned

Attorney Docket No. 42390.P12490

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## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666

Deposit Account Name \_\_\_\_\_

 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:  Check  
 Money Order  
 Other

## FEE CALCULATION

1. BASIC FILING FEE

<u>Large Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
Fee Code	Fee (\$)	Fee Code (\$)	
101	740	201	Utility application filing fee
106	330	206	Design application filing fee
107	510	207	Plant filing fee
108	740	208	Reissue filing fee
114	160	214	Provisional application filing fee

SUBTOTAL (1) \$ 0

2. EXTRA CLAIM FEES

	<u>Extra Claims</u>	<u>Fee from below</u>	<u>Fee Paid</u>
Total Claims	- 20** =		
Independent Claims	- 3** =		
Multiple Dependent			

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity Small Entity

<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee</u>	<u>Fee Description</u>
Code	Fee (\$)	Code	Fee (\$)	
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	**Reissue independent claims v r original patent
110	18	210	9	**Reissue claims in excess of 20 and v r original patent

SUBTOTAL (2) \$ 0

**FEE CALCULATION (continued)**

**3. ADDITIONAL FEES**

<u>Larg Entity</u>	<u>Small Entity</u>	<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee</u>	<u>F</u>	<u>Fee</u>	<u>Fee</u>
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>
105	130	205	65
127	50	227	25
139	130	139	130
147	2,520	147	2,520
099	8,800	099	8,800
112	920*	112	920*
113	1,840*	113	1,840*
115	110	215	55
116	400	216	200
117	920	217	460
118	1,440	218	720
128	1,960	228	980
119	320	219	160
120	320	220	160
121	280	221	140
138	1,510	138	1,510
140	110	240	55
141	1,280	241	640
142	1,280	242	640
143	460	243	230
144	620	244	310
122	130	122	130
123	50	123	50
126	180	126	180
581	40	581	40
146	740	246	370
148	110	248	55
149	740	249	370
179	740	279	370
169	900	169	900
195	300	195	300
196	300	196	300
194	130	194	130
098	130	098	130
091	1,280	091	1,280
Other fee (specify) _____			
Other fee (specify) _____			
<b>SUBTOTAL (3)</b>			<b>\$ 130.00</b>

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**

Typed or Printed Name: Leo V. Novakoski

Signature: Leo V. Novakoski Date: 4/5/02

Reg. Number: 37,198 Telephone Number: 408-720-8300